Extractive fermentation of *Monascus purpureus* promotes the production of oxidized congeners of the pigment azaphilone

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Abstract *Monascus* is a source of food colorant with high productivity of the pigment azaphilone. *Monascus* azaphilone (MAz) is biosynthesized through a single non-reducing polyketide pathway, the major components of which are ankaflavin (**1**), monascin (**2**), rubropunctatin (**3**) and monascorubrin (**4**); valuable biological activities have been reported for these compounds. Thus, various culture conditions were explored to reduce the cost of culture ingredients, enhance productivity and modulate compound composition. In the present study, we examined an extractive fermentation (EF) method with Diaion HP-20 resin (HP20) in direct comparison to a previously explored method involving Triton X-100 (TX100) to explore the modulated production of the major MAzs. We employed wild-type *Monascus purpureus* as well as two derivative recombinant strains ($\Delta mppG$ and $\Delta mppE$) that are known to have differential MAz profiles as that of the wild-type strain. The HP20 resin was capable of modulating the MAz profile in favor of orange MAzs **3** and **4**, oxidized congeners in this class, as was TX100—a phenomenon not previously observed for TX100 EF with *Monascus anka*. These findings substantiate that HP20 can be employed for the selective production of oxidized MAz and for diversifying the culture conditions used for Az production.

Keywords Diaion HP-20 resin · Extractive fermentation · *Monascus purpureus* · Pigment *Monascus* azaphilone · Triton X-100

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Introduction

Monascus species, including *M. anka*, *M. pilosus*, *M. purpureus* and *M. ruber*, are used for food fermentation in eastern Asia [1]. The health benefits of *Monascus* are generally attributed to a polyketide metabolite, monacolin K (lovastatin), and it is often used for the long-term management hypercholesterolemia [2]. *Monascus* is also renowned for its high productivity of the pigment azaphilone (Az), a food colorant [3,4]. Azs comprise a class of fungal polyketides possessing an oxygenated pyranoquinone moiety [5]. The major members of *Monascus* Az (MAz) are ankaflavin (**1**), monascin (**2**), rubropunctatin (**3**), monascorubrin (**4**), rubropunctamine (**5**), and monascorubramine (**6**) (Fig. 1).

MAz components are generally classified by their colors: yellow, orange and red. The absorption peaks of the yellow (**1** and **2**), orange (**3** and **4**), and red (**5** and **6**) pigments are 390, 470, and ~520 nm, respectively, and the pH dependency of their visible light absorption pattern has been documented [3,6]. MAz red pigments also have an absorption maximum at ~410 nm. Structurally, **1** and **2** are in a reduced status by two hydride equivalents compared to **3** and **4**, respectively (Fig. 1), which is the structural basis of their color difference. Biosynthetically, MAz is produced through a non-reducing polyketide pathway with the addition of fatty acyl moieties such as hexanoyl and octanoyl groups that are characteristic of **1**; **3**; **5** and **2**; **4**; **6**, respectively [7,8]. Primary amine derivatives nonenzymatically convert **3** and **4** into their cognate versions of **5** and **6**, respectively [5,7]. Therefore, finding diverse amino acid derivatives of **5** and **6** in *Monascus* extracts is not surprising [9]; *Monascus* is often called *red yeast* due to its characteristic red hue in rice fermentation products. The name azaphilone is derived from this azaphilic character, the tendency to aminate; while **1** and **2** are actually not azaphilic at all, they are routinely included in the Az group. The health benefits of MAz have been documented previously [3,4], and reports of the disease alleviating activities of **1** and **2** have accumulated [10,11]. For orange MAz **3**, anti-cancer potential and antioxidative effects have been reported [12–14].

The MAz biosynthetic gene cluster harbors three oxidoreductase genes [7,15]. The respective roles of these oxidoreductase genes have been elucidated within the scope of MAz biosynthesis [16–18]. Two oxidoreductase MppE and MppG mediate the final divergent steps in MAz biosynthesis: MppE and MppG yield yellow and orange MAzs, respectively (Fig. 1). A hypothetical intermediate is hypothesized to be reduced by MppE to yield yellow congeners (**1** and **2**), while the MppG oxidase generates orange congeners (**3** and **4**).

Extractive fermentation (EF) is a general term for any fermentation with simultaneous product extraction. An evident advantage of EF is that it minimizes product inhibition inside cells. EF of a *Monascus anka* strain with the nonionic detergent Triton X-100 (TX100) was found to promote the export of MAzs, shifting the total MAz composition to a dominant yellow hue [19–21]. TX100 was selected with its highest efficacy among various nonaqueous solvents tested [19]. TX100 was proposed to form micelles with MAzs, allowing these hydrophobic compounds to be soluble in aqueous media, thus promoting their export. This mechanism appears as a mere equilibrium shift. Later, TX100 was proposed to penetrate inside cells to extract MAzs stored in vacuoles [22]; however, how much this mode of action contributes to the export of MAzs is questionable as the micelle-forming effect is undoubtedly a major contributor to export.

The yellow MAz **1** and **2** congeners were initially suggested to selectively accumulated during TX100 EF by interpreting ultraviolet (UV)-visible absorption spectra and thin layer chromatography analyses [21]. However, these chemical analyses are incapable of revealing the identities of the yellow pigments under consideration. The supplementation of TX100 was recently reported to strongly induce novel yellow pigments from *Monascus anka* GIM 3.592, as determined by liquid chromatography (LC)-mass spectrometry (MS) analysis [23]. These yellow compounds have a UV-visible absorption peak at 430 nm, but their structures are yet unknown. The deduced molecular weights of these yellow substances were much higher than those of MAz **1** to **6**, which hampered predicting their structural relationship to these MAzs. No matter the origin of these yellow substances, the EF of *Monascus* evidently affects the MAz production profile by exporting them. Additional studies with *Monascus anka* provided some biological aspects of TX100 EF [24,25], but no solid theory exists regarding how EF affects the MAz composition of yellow (**1** and **2**) and orange (**3** and **4**) components; the composition of the reduced and oxidized MAz congeners. Addressing this issue is the main purpose of this study.

Other than application of nonaqueous solvent, various EF methods are known and inclusion of macroporous absorbent resin is one of them. For non-polar metabolites, the polyaromatic absorbent resin Diaion HP-20 (HP20) is effective in promoting product secretion [26,27]. In this report, we demonstrated that supplementation with HP20 or TX100 was capable of promoting the production of orange MAz **3** and **4**, (the oxidized MAz congeners), while suppressing the yield of yellow MAz **1** and **2**,

in a submerged culture of *Monascus purpureus* KACC (Korean Agricultural Culture Collection) 42430. It seems likely that a high export tendency of orange MAz over yellow MAz promotes the production of **3** and **4** in EF.

Materials and Methods

Strains, culture conditions, and extraction methods

Monascus purpureus KACC 42430 (DSM 1379) was used in this study. *M. purpureus* and its derivatives were cultured on potato dextrose agar (PDA) at 30 °C for 7 days. Agar blocks retrieved from the PDA culture were used to inoculate potato dextrose broth (PDB; Gellix™, Ventech Bio, Seoul, Republic of Korea). The liquid submerged cultures, prepared in 50 mL culture volume in a 250 mL baffled flask, were maintained in rotary shaker at 28 °C for 7 days. Two different agitation speeds were used in this study; 100 and 250 rpm. Ten milliliter of Diaion HP-20 resin (HP20; Samyang Co., Seoul, Korea) or 2.5 mL of Triton X-100 (TX100; Amresco, Solon, OH, USA) was added to the PDB media at the initiation of the culture for EF.

From the PDB culture, mycelia were separated from the supernatant by passing through a filter paper under reduced pressure. HP20 that was collected together with the mycelia during filtering was separated from mycelia by passing through a disposable net strainer (approximately 1 mm pore). By repeatedly applying water flow with a wash bottle, we made a majority of HP20 pass through the net strainer. We assumed that no less than 95% HP20 was recovered this way. The mycelia pellets and HP20 were then extracted with methanol of the culture volume. Diethyl ether was the extraction choice for the supernatant from the TX100 culture [28]. The supernatant from the HP20 culture displayed no color at all and thus not processed in this experiment. The extract was evaporated under a reduced pressure and the residue was dissolved in methanol for chemical analyses.

Chemical analysis methods

UV-visible absorption spectra were collected with a Cary50 spectrophotometer (Varian, Palo Alto, CA, USA). The absorption spectra were collected with proper dilutions in methanol, and the values were converted to the corresponding values in the original culture volume. In measuring of UV-visible absorption, the culture supernatant was directly applied without extraction while the methanol extract was used for the cell. Orbitrap high-resolution LC (HPLC)-MS analysis was performed with Dionex UltiMate 3000 UHPLC (Thermo Scientific, Sunnyvale, CA, USA) combined with LTQ XL Orbitrap mass spectrometer (Thermo Scientific, Bremen, Germany) on a reverse-phase Kinetex HPLC column (100 mm × 2.1 mm, particle size of 1.7 µm, pore size of 10 nm; Phenomenex, Torrance, CA, USA), which was maintained at 40 °C. The flow rate was maintained at 0.2 mL/min. Gradient elution was performed with the mobile phase composed of 0.1%

Fig. 1 Structures of MAZs (1-6) with their proposed biosynthetic pathway. To emphasize their biosynthetic origins, the acetate units and *S*-adenosyl-L-methionine-derived carbons are denoted with bars and black dots, respectively

formic acid in water (A) and 0.1% formic acid in acetonitrile (B). The HPLC gradient elution was applied with the following program: from 90% A to 50% A over 20 min, from 50% A to 100% B over 10 min, then maintained at 100% B for 10 min. Electrospray ionization was performed in positive-ion mode and mass spectra were obtained in Fourier-transform mode with resolution of $R=30,000$ at m/z 400. Metabolites were detected by full-scan mass analysis from m/z 200 to 500, with data-dependent MS/MS analysis triggered by the most abundant ions from the precursor list. The collision energy was set to 35%.

Results and Discussion

We investigated the effects of HP20 and TX10 on MAZ production in *M. purpureus* in PDB submerged cultures. We

aimed to evaluate the effects of these EF methods on the profile of major MAZ components 1 to 4 in wild-type *M. purpureus* (WT) as well as two recombinant derivative strains, $\Delta mppG$ and $\Delta mppE$. The $\Delta mppG$ and $\Delta mppE$ strains selectively accumulate yellow (1 and 2) and orange (3 and 4) MAZs, respectively [17,18].

EF resulted in a reduction of yellow MAZs 1 and 2

Consistent with previous reports [21,23], TX100 promoted the export of MAZs into the culture supernatant and so did HP20. When HP20 was added, no pigment was found in the supernatant itself (data not shown); thus, the methanol eluate of the recovered HP20 represented all of the MAZs that were exported. LC-MS analysis was performed only with the extract that harbored the majority of MAZs in each experiment: the methanolic cell extract, the methanol eluate of the resin and the diethyl ether extract of the supernatant for the control, HP20 and TX100 cultures, respectively.

Fig. 2 Extractive fermentation suppressed the production of yellow MAZs in *M. purpureus*. (A) Absorption spectra of the control, HP20 and TX100 cultures are represented with circles, dotted down-pointing triangles and up-pointing triangles, respectively. The filled and unfilled symbols represent the cellular and supernatant extracts, respectively. Here, we regard the HP20 resin eluate as the supernatant extract. The wavelengths characteristic of the MAZ components are indicated. (B) LC traces of the LC-MS data, monitored at 330 nm and drawn to the same Y-scale, are shown for the control/cell extract (**a**, WT), the HP20/resin methanol eluate (**b**, WT_HP20) and the TX100/supernatant diethyl ether extract (**c**, WT_TX100). MAZs are indicated with their number annotations, while Y-1/Y-2 (the undefined yellow substances found in the TX100 culture), MC-1/MC-3 (the MAZ derivatives from the $\Delta mppC$ mutant) and FK17-P2a (the early intermediate of MAZ) are indicated with circles, asterisks and triangles, respectively. (C) UV-visible absorption spectra of the peaks for 1 and 3 in the LC-MS analysis, of the control/cell extract (**a**, WT), the HP20/resin methanol eluate (**b**, WT_HP20) and the TX100/supernatant diethyl ether extract (**c**, WT_TX100) are shown to represent the relative abundances of 1 and 3

In WT, the overall absorbances of the TX100 and HP20 samples were lower than that of the control (Fig. 2A). The control sample displayed a broad absorption peak at a wavelength higher than 510 nm, indicative of red components. Compared with the TX100 sample, which had high absorption at approximately 400 nm, a broad absorption peak at 470 nm was evident in the HP20 sample. Compared to the control, a lower content of yellow compounds could be predicted for the HP20 sample.

LC-MS analysis was employed to support the presence of 1 to 4; chromatograms monitored at selected wavelengths (390, 470 and 520 nm for yellow, orange and red MAZs, respectively), with relevant mass spectra, are shown in Fig. S1. MC-1 and MC-3 are the MAZs isolated from the $\Delta mppC$ mutant [16], and they are marked with asterisks in the LC chromatograms (Fig. 2B). Among the four MAZs of the $\Delta mppC$ mutant, both MC-1 and MC-3 belong to the reduced class (Fig. 1). The presence of red MAZs could be found only in the control, as seen in monitoring

at 520 nm (Fig. S1). This finding could be due to the immediate export of 3 and 4, which minimizes the formation of red MAZs. The red MAZs were previously suggested to form that red MAZ is formed inside the cell through the reaction of intracellular amine derivatives [29]. Under these LC conditions, the peaks for 1 and 3 overlapped; thus, UV-visible absorption and mass spectra were used to deduce the relative compositions of 1 and 3. When compared to the control, the HP20 sample displayed a reduction in the yellow MAZs 1 and 2 (Fig. 2B). A relative enrichment of 3 (versus 1) in the HP sample was evident in the UV-visible absorption spectrum (Fig. 2C) as well as the mass spectrum of the cognate elution peak (Fig. S1B). In terms of a reduction of yellow MAZs, These LC-MS data are consistent with the UV-visible spectra data in Fig. 2A. A peak unique to the HP20 sample was that for FK17-P2a (marked as triangles), which was previously established as the early intermediate in MAZ biosynthesis (Fig. 1) [16]. This finding suggests that the capacity of HP20 to trap

Fig. 3 Extractive fermentation promoted the production of orange MAZs, while inhibiting that of yellow MAZs, in *M. purpureus* when cultured at 100-rpm agitation. (A) Absorption spectra are shown with the symbol choice used in Fig. 2. (B) LC traces of the LC-MS data, monitored at 330 nm and drawn to the same Y-scale, are shown for the control/cell extract (**a**, **WT**), the HP20/resin methanol eluate (**b**, **WT_HP20**) and the TX100/supernatant diethyl ether extract (**c**, **WT_TX100**). Compound marking is the same as Fig. 2. (C) UV-visible absorption spectra of the peaks for **1** and **3** in the LC-MS analysis, of the control/cell extract (**a**, **WT**), the HP20/resin methanol eluate (**b**, **WT_HP20**) and the TX100/supernatant diethyl ether extract (**c**, **WT_TX100**) are shown to represent the relative abundances of **1** and **3**

hydrophobic compounds is capable of driving the export of this biosynthetic intermediate, which does not accumulate during cellular biosynthesis. This type of export mechanism appeared to enhance the production of FK17-P2a. This phenomenon did not occur significantly when TX100 was used under these conditions. We also found a reduction in yellow MAZ **2** in the TX100 sample (Fig. 2B). The TX100 sample contained two yellow peaks (marked as circles) other than **1** and **2** (Fig. 2B). Their absorption maxima are at 430 nm (data not shown), implying that they are the yellow pigments reported from the TX100 EF of *Monascus anka* GIM 3.592 [23]. For convenience, these two compounds will be referred **Y-1** and **Y-2**. Oddly, multiple ion peaks were found for the **Y-1** and **Y-2** peaks, hampering the identification of relevant molecular ion peaks in this experiment (Fig. S1C). An obstacle to analyzing the TX100 samples was that residual TX100 eluted with **1** and **3**, causing a shift in their retention times (Fig. 2B and C). Furthermore, the molecular ion peaks of **1** and **3** could

not be found in the LC-MS traces of the TX100 sample; thus, TX100 was assumed to suppress the ion peaks for **1** and **3**. In fact, all the mass spectra from the TX100 sample contained several unknown peaks, and we could not determine the origin of these peaks (Fig. S1C). LC traces suggested the presence of **Y-1** and **Y-2** in the HP20 sample (Fig. 2B), but the chemical information, such as UV-visible absorption patterns and characteristics of the MS data, was not convincing of their presence. This LC-MS pattern was also observed in the HP20 samples of other culture experiments, as shown below. Neither HP20 nor TX100 appeared capable of promoting overall MAZ productivity during the PDB culture of *M. purpureus*, whereas reductions in **1** and **2** were evident with either HP20 or TX100 (Fig. 2).

For the $\Delta mppG$ mutant, a reduction in UV-visible absorption of the HP20 sample was more pronounced than it was for the WT (Fig. S2). Otherwise, the outcomes of EF were comparable between the WT and $\Delta mppG$ strains. As seen in the WT strain, the

Fig. 4 Extractive fermentation promoted the production of orange MAZs, while inhibiting that of yellow MAZs, in *M. purpureus* $\Delta mppG$ mutant when cultured at 100-rpm agitation. (A) Absorption spectra are shown with the symbol choice used in Fig. 2. (B) LC traces of the LC-MS data, monitored at 330 nm and drawn to the same Y-scale, are shown for the control/cell extract (a, $\Delta mppG$), the HP20/resin methanol eluate (b, $\Delta mppG$ HP20) and the TX100/supernatant diethyl ether extract (c, $\Delta mppG$ TX100). Compound marking is the same as Fig. 2. (C) UV-visible absorption spectra of the peaks for 1 and 3 in the LC-MS analysis, of the control/cell extract (a, $\Delta mppG$), the HP20/the resin methanol eluate (b, $\Delta mppG$ HP20) and TX100/the supernatant diethyl ether extract (c, $\Delta mppG$ TX100) are shown to represent the relative abundances of 1 and 3

red component content decreased in both of the HP20 and TX100 samples, while the absorption near 400 nm decreased in the HP20 sample (Fig. S2A). LC-MS analysis found 1, 2, MC-1 and MC-3 in the control for $\Delta mppG$, and 1 and 2 were found to decrease in both EF samples (Fig. S2B and C). Y-1 and Y-2 (circles) were also found in the TX100 sample (Fig. S2B). These chromatograms, monitored at selected wavelengths with relevant mass spectra, are shown in Fig. S3.

For the $\Delta mppE$ mutant, all the samples displayed a dominance of orange MAZ 3 and 4 (Fig. S4), and severe growth retardation was observed with HP20; approximately 2 mg/mL wet cell weight was obtained when HP20 was added, while the control yielded 25 mg/mL. With this low growth, the MAZ yield of the HP20 sample was significantly lower than that of the control (Fig. S4A). The TX100 sample afforded MAZs at a level comparable to that of the control and displayed an absorption peak at approximately 430 nm. LC-MS analysis demonstrated that the levels of 3 and 4 were comparable between the control and the TX100 samples. A high level of Y-1 and Y-2 (circles) were found in the TX100 samples of the $\Delta mppE$ strain (Fig. S4B). These chromatograms, monitored

at selected wavelengths with relevant mass spectra, are shown in Fig. S5. The HP20 sample contained R-1 and R-2, the ethanolamine derivatives of red MAZ 5 and 6, respectively. These compounds were previously identified in the *M. purpureus* $\Delta mppE$ and $\Delta mppDEG$ mutants [29]. Low yields of 3 and 4 might make R-1 and R-2 noticeable in the chromatograms.

In summary, EF with either HP20 or TX100 resulted in a reduction of 1 and 2 during PDB culture with the *M. purpureus* strains used in this study (Fig. 2 and S2). As reported in a *M. anka* strain [23], unknown yellow compounds Y-1 and Y-2 were found when TX100 was added, but these compounds were not observed when HP20 was used (Fig. 2B, S2B and S4B), implying that the formation of Y-1 and Y-2 is related to TX100 itself and not to the MAZ export. The shift to a yellow hue that was observed in the TX100 culture of a *M. anka* strain [21] could result from the production of yellow compounds related to Y-1 and Y-2. Considering that these unknown yellow substances are structurally undefined, the practical significance of *Monascus* EF with TX100 seems doubtful. Our attention was drawn to the production of FK17-P2a in the HP20 samples (Fig. 2B, S2B and S4B). This compound

Fig. 5 Extractive fermentation promoted the production of orange MAzs in *M. purpureus* $\Delta mppE$ mutant when cultured at 100-rpm agitation. (A) Absorption spectra are shown with the symbol choice used in Fig. 2. (B) LC traces of the LC-MS data, monitored at 330 nm and drawn to the same Y-scale, are shown for the control/cell extract (a, $\Delta mppE$), the HP20/resin methanol eluate (b, $\Delta mppE_{HP20}$) and the TX100/the supernatant diethyl ether extract (c, $\Delta mppE_{TX100}$). The absolute Y-scale is as two times as those of Fig. 3 and 4. Compound marking is the same as Fig. 2. (C) UV-visible absorption spectra of the peaks for 1 and 3 in LC-MS analysis, of the control/cell extract (a, $\Delta mppE$), the HP20/resin methanol eluate (b, $\Delta mppE_{HP20}$) and the TX100/supernatant diethyl ether extract (c, $\Delta mppE_{TX100}$) are shown to represent the relative abundances of 1 and 3

is an early intermediate released from the MAz polyketide synthase MpPKS5, and it specifically accumulates in the $\Delta mppF$ mutant (Fig. 1), which lacks the gene encoding the hydroxylase that converts FK17-P2a into azanigerone E [16]. The hydroxylated derivative of FK17-P2a is nonenzymatically cyclized to give azanigerone E [30]. This finding implies that the HP20 is capable of inducing or enhancing the production of intermediate(s) by promoting its export, at least for Az biosynthesis.

EF promotes orange MAz production at a low agitation speed

In the following evaluation of EF, we employed low-speed agitation during culturing, which afforded MAz profiles different from those of cultures agitated at 250 rpm. Notably, the supplementation of HP20 exerted no inhibitory effects on the growth of the $\Delta mppE$ mutant when cultured at 100-rpm agitation, unlike the high-agitation culture. In a 100-rpm culture, WT mainly produced yellow MAz 1 and 2 and the addition of HP20 not only reduced the levels of 1 and 2 but also enhanced those of 3 and 4 (Fig. 3), interestingly switching the MAz profile from a dominance of yellow MAzs to orange ones. For the TX100 samples, the MAz profiles appeared comparable to those obtained in the 250-rpm

experiment (Fig. 2 and 3). These chromatograms, monitored at selected wavelengths with relevant mass spectra, are shown in Fig. S6. For the $\Delta mppG$ mutant, the overall pigment content was significantly enhanced via both EF methods, with evident enhancement in absorbance at 470 nm in the HP20 sample (Fig. 4A). LC-MS analysis also supported a reduction in yellow MAzs with a concomitant enhancement in orange MAzs 3 and 4 (Fig. 4B and C). These chromatograms, monitored at selected wavelengths, with relevant mass spectra, are shown in Fig. S7.

The $\Delta mppE$ mutant, which produced mainly orange MAz with high agitation, displayed low MAz productivity in low-agitation culture with an undetectable level of orange MAzs (Fig. 5A and B). The $\Delta mppE$ mutant was previously established to preferentially produce orange MAzs over yellow ones [17]. The present study demonstrated that low-agitation culturing conditions limited orange MAz production. These two factors might give rise to the dramatic reduction in MAz productivity observed for $\Delta mppE$ in low-agitation culture. In these circumstances, a strong enrichment of 3 and 4 production was observed with both HP20 and TX100 (Fig. 5A). LC-MS analysis supported the selective production of 3 and 4, as well as FK17-P2a, under both EF conditions (Fig. 5B

and C). These chromatograms, monitored at selected wavelengths with relevant mass spectra, are shown in Fig. S8.

These low-agitation culture experiments demonstrated that both HP20 and TX100 EF promote the production of orange MAzs **3** and **4** in *M. purpureus* (Figs. 3–5). It seems likely that the chemical properties of the oxidized MAzs **3** and **4** have a high tendency of passing through the cell barrier, over the reduced MAzs **1** and **2**, and EF promotes the export by either solubilizing (with TX100) or sequestering (with HP20) the exported compounds. This action EF gives rise to an equilibrium shift in favor of the exported. We propose that the change of MAzs composition in EF originated from a difference of tendency passing the cell barrier, although it is yet to be defined whether this tendency is a mere reflection of the chemical nature or involves any cellular transport system. This observation highlights the possibility that EF modulates the MAz profile in favor of oxidized MAz congeners, contrasting with previous theories that the TX100 EF of *Monascus* promotes yellow MAzs [21] and revealing the significance of the present study.

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